

## **Quadrant II – Transcript and Related Materials**

**Programme: Bachelor of Science (Second Year)**

**Subject: Computer Science**

**Course Code: CSC103**

**Course Title: Database Management systems**

**Unit: 09**

**Module Name: Transaction Management: Lock Based Concurrency control- II**

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**Notes:**

### **1. Graph Based Protocol**

- Graph-based protocol is an alternative to two-phase locking.
- Impose a partial ordering on the set  $D = \{d_1, d_2, \dots, d_h\}$  of all data items.
- If  $d_i, d_j$  are data items then any transaction accessing both  $d_i$  and  $d_j$  must access  $d_i$  before accessing  $d_j$ .
- Implies that the set  $D$  may be viewed as a directed acyclic graph, called a database graph.
- The tree-protocol is a simple kind of graph protocol.

### **2. Tree Based Protocol**

- Partial Order on Database items determines a tree like structure.
- In this protocol, Only Exclusive Locks are allowed.
- The first lock by  $T_i$  may be on any data item. Subsequently, a data  $Q$  can be locked by  $T_i$  only if the parent of  $Q$  is currently locked by  $T_i$
- Data items may be unlocked at any time.
- Tree based Protocol ensures Conflict Serializability and Deadlock Free Schedule.
- In this protocol there is no need to wait for unlocking a Data item as done in 2-PL protocol, thus increasing the concurrency.

## Tree Based Protocol Example:-

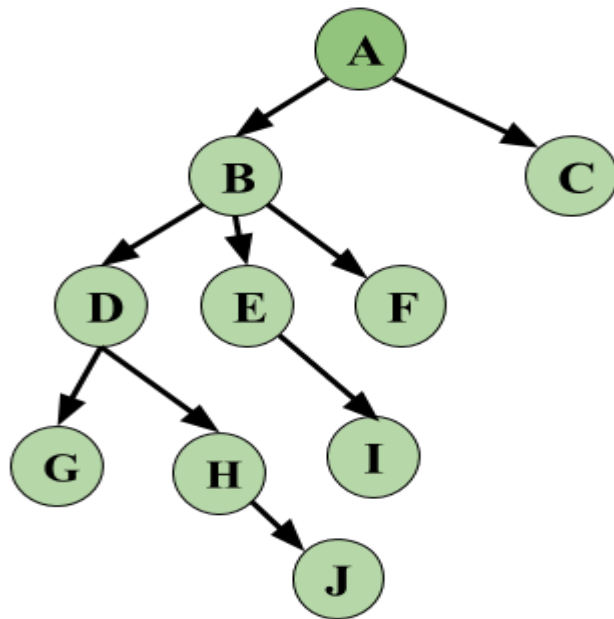


Fig1: Database Graph

T1	T2	T3
Lock-X(A) Lock-X(B)		
	Lock-X(D) Lock-X(H) Unlock-X(D)	
Lock-X(E) Lock-X(D) Unlock-X(B) Unlock-X(E)		
		Lock-X(B) Lock-X(E)
	Unlock-X(H)	
Lock-X(B) Lock-X(G) Unlock-X(D)		
		Unlock-X(E) Unlock-X(B)
Unlock-X(G)		

# **Advantages & Disadvantages of Graph Based Protocol**

## **Advantages: -**

- Ensures conflict Serializable Schedule.
- Ensures Deadlock Free Schedule.
- Unlocking can be done at any time.

## **Disadvantages: -**

- Unnecessary locking overheads may happen sometimes.
- Cascading Rollbacks is a problem.

## **Summary:**

- Graph-based protocol is an alternative to two-phase locking.
- Partial Order on Database items determines a tree like structure.
- In Tree Based protocol, Only Exclusive Locks are allowed.
- Tree based Protocol ensures Conflict Serializability and Deadlock Free Schedule.
- In this protocol there is no need to wait for unlocking a Data item as done in 2-PL protocol, thus increasing the concurrency.