

## Quadrant II – Transcript and Related Materials

**Programme:** Bachelor of Science (Third Year)

**Subject:** Physics

**Paper Code:** PYD103

**Paper Title:** Solid State Physics

**Unit-1:** Crystal Structure

**Module Name:** Reciprocal Lattice

**Module No:** 08

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### Notes

#### Concept of Reciprocal Lattice Vectors:

The electronic number density  $n(\mathbf{r})$  in the crystal is a periodic function in space:

$$n(\mathbf{r}) = n(\mathbf{r} + \mathbf{T})$$

where  $\mathbf{T} = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3$  is the direct translation vector. So,  $n(\mathbf{r})$  can be expressed as a (spatial) Fourier series expansion.

For a one-dimensional model crystal, the  $n(x)$  can be represented as

$$n(x) = \sum_p n_p e^{i2\pi p x/a} \quad \text{where the } p\text{'s are integers.}$$

The Fourier coefficient of the number density can be written as:

$$n_p = \frac{1}{a} \int_0^a dx n(x) e^{-i2\pi p x/a}$$

In 3-Dimensions, the Fourier coefficient of the number density has the form

$$n(\mathbf{r}) = \sum_{\mathbf{G}} n_{\mathbf{G}} e^{i\mathbf{G} \cdot \mathbf{r}} \rightarrow n_{\mathbf{G}} = \frac{1}{V_c} \int_0^a dV n(\mathbf{r}) e^{-i\mathbf{G} \cdot \mathbf{r}} \dots(1)$$

The vectors  $\mathbf{G}$ 's are called **Reciprocal Lattice Vectors**.

Since, the electronic density  $n(\mathbf{r})$  is required to be invariant (periodic) under lattice translations:

$$n(\mathbf{r}) = n(\mathbf{r} + \mathbf{T}) \dots(2)$$

$$\begin{aligned} n(\mathbf{r} + \mathbf{T}) &= \sum_G n_G e^{i\mathbf{G} \cdot (\mathbf{r} + \mathbf{T})} = \sum_G n_G e^{i\mathbf{G} \cdot \mathbf{r}} e^{i\mathbf{G} \cdot \mathbf{T}} \\ &= n(\mathbf{r}) e^{i\mathbf{G} \cdot \mathbf{T}} = n(\mathbf{r}) \dots(3) \end{aligned}$$

Eq. (3) holds true , only if  $e^{i\mathbf{G} \cdot \mathbf{T}} = 1$  ... (4)

Only the set of Reciprocal lattice Vectors  $\mathbf{G}$  that satisfy both Eq.(1) and Eq.(4) leads to an electronic number density  $n(\mathbf{r})$  that is invariant under lattice translations.

The set of  $\mathbf{G}$ 's that meet this requirement are of the form

$$\mathbf{G} = v_1 \mathbf{b}_1 + v_2 \mathbf{b}_2 + v_3 \mathbf{b}_3$$

where  $v_1, v_2$  and  $v_3$  are integers. The  $\mathbf{b}_i$ 's are vectors which defined as:

$$b_1 = \frac{2\pi}{V} a_2 \times a_3, \quad b_2 = \frac{2\pi}{V} a_3 \times a_1, \quad b_3 = \frac{2\pi}{V} a_1 \times a_2$$

and  $V = a_1 \cdot (a_2 \times a_3)$  is the volume of the unit cell.

Note that:  $b_i \cdot a_j = 2\pi \delta_{ij}$  , and  $\delta_{ij} = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases}$

The  $\mathbf{a}_j$ 's are the fundamental lattice vectors for the real crystal structure.

- ✓ A Set of Reciprocal Lattice Vectors  $\mathbf{G}$  forms a Bravais Lattice.
- ✓ An X-Ray diffraction pattern of the lattice can be interpreted as a map of the reciprocal lattice of the crystal.
- ✓ The set of Reciprocal Lattice Vectors  $\mathbf{G}$  determine the possible X-ray reflections.

**Reciprocal lattice of simple cubic lattice:**

$$a_1 = a \hat{x}, a_2 = a \hat{y} \text{ and}$$

$$a_3 = a \hat{z}$$

$$V = a_1 \cdot (a_2 \times a_3) = a^3$$

$$b_1 = 2\pi \frac{a_2 \times a_3}{a_1 \cdot (a_2 \times a_3)} = \frac{2\pi \hat{x}}{a}$$

$$b_2 = \frac{2\pi \hat{y}}{a} \text{ and } b_3 = \frac{2\pi \hat{z}}{a}$$

Reciprocal lattice of simple cubic is also a simple cubic with lattice constant  $2\pi/a$ .

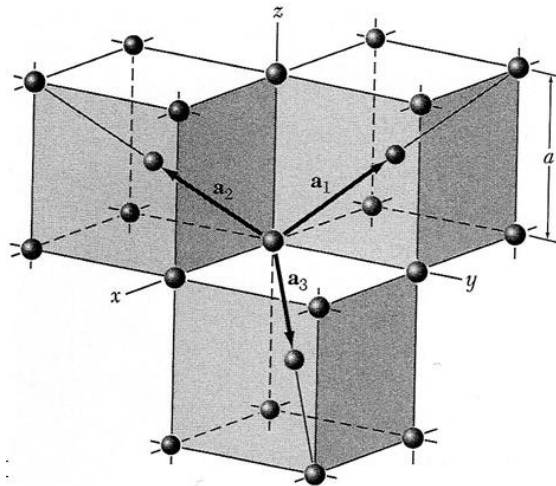
**Reciprocal lattice of body centered cubic lattice:**

$$a_1 = \frac{a}{2} (-\hat{x} + \hat{y} + \hat{z})$$

$$a_2 = \frac{a}{2} (\hat{x} - \hat{y} + \hat{z})$$

$$a_3 = \frac{a}{2} (\hat{x} + \hat{y} - \hat{z})$$

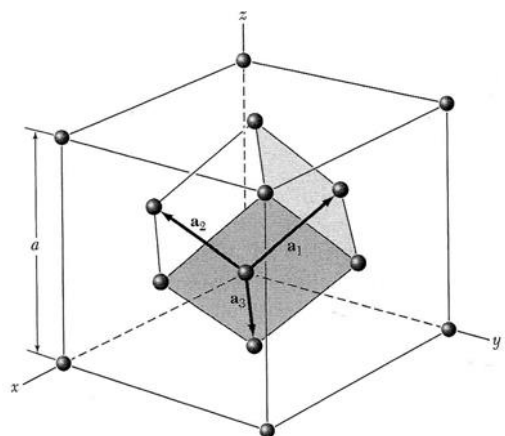
$$V = a_1 \cdot (a_2 \times a_3) = \frac{1}{2} a^3$$



$$b_1 = 2\pi \frac{a_2 \times a_3}{a_1 \cdot (a_2 \times a_3)} = \frac{2\pi}{a} (\hat{y} + \hat{z})$$

$$b_2 = \frac{2\pi}{a} (\hat{x} + \hat{z}) \text{ and}$$

$$b_3 = \frac{2\pi}{a} (\hat{x} + \hat{y})$$



But these are the primitive vectors of face centered cubic lattice. **Reciprocal of a bcc lattice is a fcc lattice.**

**Note that: the reciprocal lattice of a fcc lattice is a bcc lattice.**

	<u>Direct Lattice</u>	<u>Reciprocal Lattice</u>	<u>Volume</u>
<u>SC</u>	$\begin{cases} \mathbf{a}_1 = a\mathbf{x} \\ \mathbf{a}_2 = a\mathbf{y} \\ \mathbf{a}_3 = a\mathbf{z} \end{cases}$	$\begin{cases} \mathbf{b}_1 = (2\pi/a)\mathbf{x} \\ \mathbf{b}_2 = (2\pi/a)\mathbf{y} \\ \mathbf{b}_3 = (2\pi/a)\mathbf{z} \end{cases}$	$(2\pi/a)^3$
<u>FCC</u>	$\begin{cases} \mathbf{a}_1 = \frac{1}{2}a(\mathbf{x} + \mathbf{y}) \\ \mathbf{a}_2 = \frac{1}{2}a(\mathbf{y} + \mathbf{z}) \\ \mathbf{a}_3 = \frac{1}{2}a(\mathbf{z} + \mathbf{x}) \end{cases}$	$\begin{cases} \mathbf{b}_1 = \frac{2\pi}{a}(-\mathbf{x} + \mathbf{y} + \mathbf{z}) \\ \mathbf{b}_2 = \frac{2\pi}{a}(\mathbf{x} - \mathbf{y} + \mathbf{z}) \\ \mathbf{b}_3 = \frac{2\pi}{a}(\mathbf{x} + \mathbf{y} - \mathbf{z}) \end{cases}$	$2(2\pi/a)^3$
<u>BCC</u>	$\begin{cases} \mathbf{a}_1 = \frac{1}{2}a(\mathbf{x} + \mathbf{y} - \mathbf{z}) \\ \mathbf{a}_2 = \frac{1}{2}a(-\mathbf{x} + \mathbf{y} + \mathbf{z}) \\ \mathbf{a}_3 = \frac{1}{2}a(\mathbf{x} - \mathbf{y} + \mathbf{z}) \end{cases}$	$\begin{cases} \mathbf{b}_1 = \frac{2\pi}{a}(\mathbf{y} + \mathbf{z}) \\ \mathbf{b}_2 = \frac{2\pi}{a}(\mathbf{x} + \mathbf{z}) \\ \mathbf{b}_3 = \frac{2\pi}{a}(\mathbf{x} + \mathbf{y}) \end{cases}$	$4(2\pi/a)^3$